Deciphering GATA and Finding Related Resources

June 22, 2023

Forefront, Illinois Partners for Human Service, and Governor's Office of Management and Budget

Forefront

Illinois' Nonprofit/Foundation Membership Alliance

- Fundamental Commitments
  - Educate, convene, and mobilize the sector - Statewide
  - Advancing racial equity
- Members and stakeholders include
  - Individuals: Advisors, consultants, individual philanthropists
  - Operating NPOs: Health, human services, arts, museums, environment, etc.
  - Grantmakers: Private, family, corporate, public, and community foundations; donor-advised funds and charitable trusts

ForefrOnt

Forefront: Membership

- iCAT
- Peer Skill Share
- Member Networks i.e. Philanthropy Club
- Fiscal Sponsorship and Converge Consulting
- "Library"
  - Candid Foundation Directory Online (FDO)
  - Individual Donor Wealth Profiles
  - Research – Giving in Illinois, Salary Surveys
- Mission Sustainability Initiative
- Job Board: Members may post open positions at no cost
- Grantmakers Institute; Nonprofit Institute in development

"It is SO easy to forget that the Peer Skill Share process benefits both the advisor and the requestor. These sessions refresh my perspective of the work that I do."

- Robret Simpson
Former Director of Operations, Facing Forward to End Homelessness

Forefront Policy Team

Holly Ambuehl, Director of Policy and Government Affairs
- Senate Staff (Massachusetts), Senior Policy Advisor in Governor's Office and House (Arizona), VP at United Way McLean County, Regional organizer for Illinois Partners for Human Service (Central IL), Chair of private health care trust (Bloomington/Normal), Nonprofit/Higher Ed Consultant
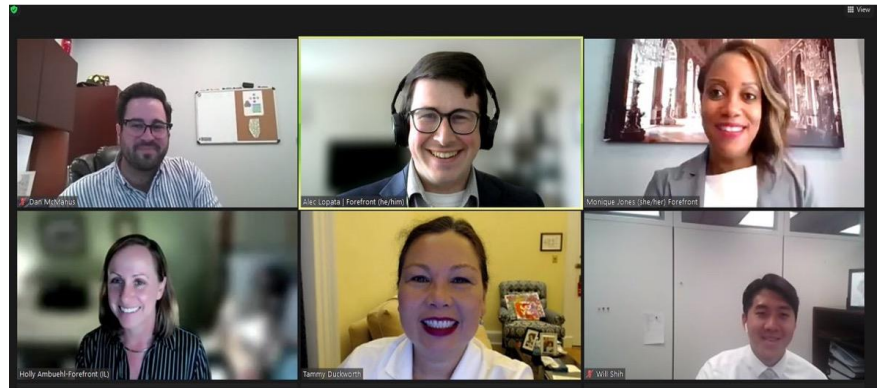
Alec Lopata, Policy and Government Affairs Coordinator
- Philanthropic consultant focused on public private partnerships, Dunn Fellow on Budget and Economy team in Gov. Pritzker's Office

Forefront Policy Program

- State
- Federal
- Local

Group Poll

Deciphering GATA

GATA HIGHLIGHTS AND ENHANCEMENTS

JUNE 2023

GRANT ACCOUNTABILITY AND TRANSPARENCY UNIT

Talking Points

- GATA 101

- GATA Enhancements based on stakeholder feedback

- Readiness for State of Illinois grants
  - Finding grant opportunities – Notice of Funding Opportunities (NOFOs)
  - Applying for funding
  - Qualified status for grant awards
  - Reading, referencing and understanding grant terms and conditions

GATA 101

Illinois Heavily Relies on Grants for Service and Program Delivery

- Grants help build and repair our statewide roads and infrastructure

- Grant agreements with community-based organizations, not-for-profits and others carry out services and programs on behalf of the State of Illinois
  - Grantees deliver essential services to the most vulnerable citizens including the development of children
  - Grantees provide training and skill development to those entering or adapting to the workforce

*Illinois' program and services success is
<u>directly related</u> to the success of its grantees*

Illinois Receives and Awards a Significant Amount of Grant Funding

- Illinois spends approximately $26.6B in federal financial assistance annually – in FY21, $62.4B was spent due to increased federal Coronavirus funding

- Illinois distributes approximately $15.8B in state-issued award agreements between state agencies and grantees

- Approximately $12B of the state-issued awards are used for match or maintenance of effort required by federal funding

- Capital funding substantially increased the number and amount of grants awarded
  - Capital Budget: FY22 enacted $18.7B; FY23 enacted $19.1B (lines 4400 and 4900 – all grants)

Drivers of GATA Legislation

- Human service grantees demanded consistent, federally compliant requirements for <u>all grants</u> – regardless of funding source or organization type - to simplify processes and remove redundancies

- Simultaneously, publicized grant fraud (NRI) and inadequate oversight of public funds drove the enactment of GATA legislation

- GATA became law under Gov. Quinn (2014 30 ILSC 708); sunset removed in 2018 (PA 100-0676)
  - Federal Uniform Guidance, 2 CFR 200, also went into effective 2014
  - Timing leads to perception that GATA established current grant rules

- GATA adopts <u>federal requirements</u> for all state-issued awards driving transparency and accountability for all public funds

State Grants and State Grant Making Agencies

- "State-issued awards" - financial assistance awarded by a state grant making agency using state, federal pass-through or a combination of these sources of funding

- Nearly 95% of all grant funds expended are administered by 8 grant making agencies – GATA Advisory Agencies
  - DCEO, DHS, DPH, ISBE, IDOT, DCFS, Aging and ICCB
  - Illinois' Catalog of State Financial Assistance (CSFA) includes more than 2,500 programs and nearly 47,000 awards to more than 9,200 grantees
  - 47% of grantees receive grants from multiple state agencies

Grant Making Process

GATA FRAMEWORKS TOUCH ALL ILLINOIS GRANTS

Grant Accountability and Transparency Act (30 ILCS 708)

GATA strengthens Illinois' grant making programs, following the guidance of 2 CFR 200, through a uniform process and templates for:

1. Registration

2. Pre-award Process

3. Award Process

4. Post-award Process

Grant Life Cycle

- GATA frameworks give grantees and state agencies a roadmap for using grant funds.

- Grantees follow same requirements for all funding - subject to funding terms

- Grantees provide information once and it's shared with state agencies: Pre-Award qualified status and ICQ, Post-Award and Closeout in GATA's audit system, ARRMS.
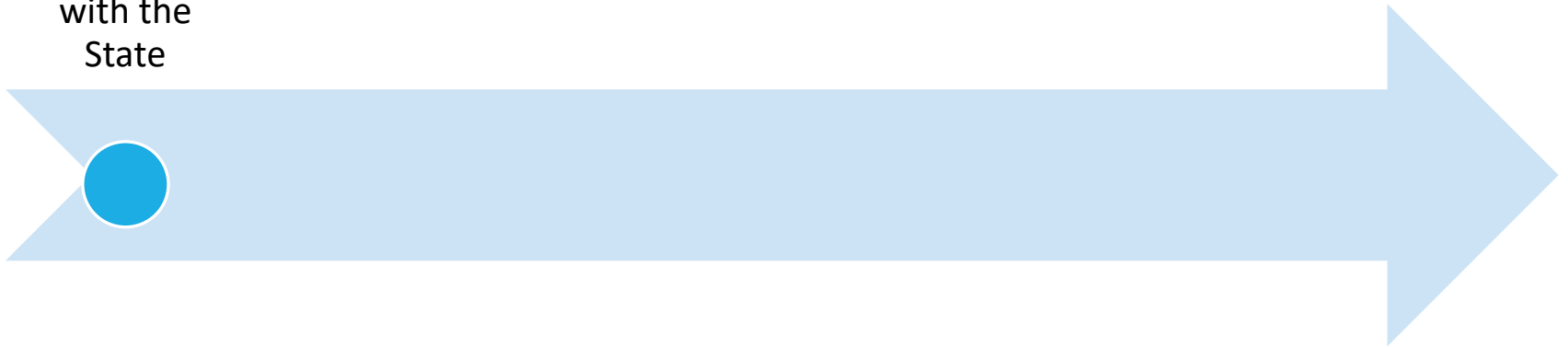

Post Award - Implementation, Reporting, and Closeout

Pre-Award Phase - Funding Opportunities and Application Review

Award Phase - Award Decisions and Notifications

Centralized Grantee Registration Process Under GATA
www.Grants.Illinois.gov/portal

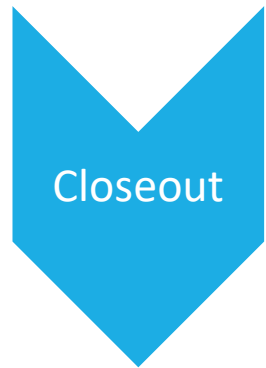Potential Grantee creates account by registering with the State

Grant Application & Award Process

Notice of Grants

Implementation, Reporting and Closeout

Closeout

Get the Know the GATA Grantee Portal

Illinois Grant Accountability and Transparency Act
Welcome to the GATA Grantee Portal

| Grantee Portal Sign In | Amplifund Sign In | Create Account | Public Account Help | Partner Account Help |

To access the Portal or Amplifund you must have an Illinois.gov account.

To create an account, click the Create Account button.

For Public domain account help, click the Public Account Help button.

For Partner domain account help, click the Partner Account Help button.

> *Partner account usernames end in "@external.illinois.gov" and are most likely DHS CRV account users.*

Note: To bookmark this Portal, bookmark this page. Bookmarking the sign in page will cause an error.

Grantee Portal Frequently Asked Questions↗

Sample Views from the Grantee Portal

REGISTRATION IS REQUIRED ONCE

Illinois Grant Accountability and Transparency Act
Grantee Portal - Registration

Cancel Save

To create an account with the Illinois Grant Accountability and Transparency System please fill in the form and click the 'Save' button. All fields are required except those labeled 'Optional'.

SAM.gov UEI
No UEI   If you do not have a UEI, please click here to register at SAM.gov.

Organization FEIN *(Numbers only. 9 digit Federal Employer ID Number)*
########

Organization Type
[   ]

Illinois Secretary of State File ID *(Numbers only)*
[   ]   Illinois Secretary of State

Organization Name
[   ]

Organization Address 1   **Organization Address 2** *(Optional)*
[   ]   [   ]

City   **State**   **Zip Code**
[   ]   IL   [   ]

Primary Email   **Primary Phone**
[   ]   ###-###-####

Does this organization have 2 or more years experience with Illinois grants? ●Yes ○No

IMPORTANT: Please enter and validate this organization's correct fiscal year end date. GATA must record the correct date for audit requirements. Upon clicking the 'Save' button, changes to this date can only be done by contacting the state cognizant agency.

Fiscal Year End Month: [   ]   **Fiscal Year End Day:** [   ]

Cancel Save

PORTAL SHOWS STATUS AND PROVIDES SUPPORT LINKS

Pre-Qualification Status

Your organization is currently in good standing with all pre-qualification requirements.

Requirement	Status	Remediation
SAM.gov Account	Good	Help
Federal Employer ID (FEIN)	Good	Help
Federal Excluded Parties List	Good	Help
Illinois Secretary of State	Good	Help
Illinois Stop Payment List	Good	Help
Illinois DHFS Sanction List	Good	Help

Your organization must remain in good standing in all pre-qualification requirements. The status of these requirements are validated nightly. Notifications when changes occur to the pre-qualification status can be emailed to Grantee Portal Access users by clicking the "Manage" button below.

Requirements aren't Optional

GATA DO IT.

GATA Enhancements

Feedback Drives GATA Enhancements

- Grantees - not-for-profits (human services and criminal justice sectors), local governments (counties and municipalities) and universities (U of I, NIU)
  - Grantees make up approximately 40% of GATA Committees

- State agencies – Chief Accountability Officer (CAO) at each agency
  - 8 Advisory Agencies (DCEO, DHS, DPH, ISBE, IDOT, DCFS, Aging and ICCB) award approximately 95% of all grants statewide
  - CAO meetings every other week

- Stakeholder groups - external auditors (working with groups of grantees), legislators, grantee advocates (GFOA, Forefront, Illinois Partners)
  - GATA listening sessions - GATU partnering with state awarding agency

GATA Revisions

- Illinois cannot change the federal rules, but we can improve <u>how</u> we comply with the requirements

- Implemented Illinois NOFO Notifications to promote funding
  - Based on a registry, weekly emails communicate NOFOs posted during the prior week; over 1200 subscribers

- Updating GATA website, https://grants.illinois.gov, for more direct access to technical assistance for all users

- Translating key GATA documents to Spanish

- Streamlined risk assessment to a single questionnaire (ICQ)

- Developed GATA New User Guide to assist organizations with the Grantee Portal

GATA New User Guide

State of Illinois
Grant Accountability and Transparency Act (GATA)
State Grantee Portal New User Guide

Welcome to the State of Illinois GATA Grantee Portal! Each year the State partners with organizations throughout Illinois to provide services and programs to its residents. Millions of dollars in grants are awarded annually. Included in this guide are instructions for registering for these grant opportunities. With your registration, your organization will be able to apply for grants and complete related State of Illinois requirements from one location.

Let's get started!

Table of Contents

Sections include screen prints and step-by-step instructions

Creating an Individual User Account – Grantee Portal

Step 1 – Browse to https://grants.illinois.gov/portal

Step 2 – Click the CREATE ACCOUNT button

What Does Pre-qual Mean?

What Does Pre-qualification Mean?

Pre-qualification means that all of the registration steps have been met and that the status for the grantee is "Good" relative to an active SAM.gov registration, the Federal Excluded Parties List, Stop Payment List, the Secretary of State registration (if required) and the DHFS Sanctions List (also known as the Illinois Medicaid Sanctions List). **Once the pre-qualification steps are met, the grantee is in "Qualified" status.**

An organization must be in qualified status by the application review date set by the state awarding agency. Federal flexibilities can temporarily allow applications to be considered before a SAM.gov account is active, but grants cannot be awarded to entities that do not have an active SAM.gov account.

Pre-Qualification Status

Your organization not in good standing. Items in red require your action.

Requirement	Status	Remediation
SAM.gov Account	Good	Help
Federal Employer ID (FEIN)	Good	Help
Federal Excluded Parties List	Good	Help
Illinois Stop Payment List	On List	Help
Illinois DHFS Sanction List	Good	Help

Remediation for Illinois Stop Payment List

The following State agency has your organization FEIN on stop payment. For assistance in removing your organization from the list, please use the contact provided.

Agency Name: **Management And Budget**
Contact Name: **John Doe**
Contact Email: **John.Doe@illinois.gov**
Contact Phone: **217-555-1212**

Please click the link below for additional information about the Illinois Stop Payment List

Grantee Compliance Enforcement System

Your organization must remain in good standing in all pre-qualification requirements. The status of these requirements are validated nightly. Notifications when changes occur to the pre-qualification status can be emailed to Grantee Portal Access users by clicking the "Manage" button below.

Audit Streamlining

- GATA audit threshold only includes state-issued awards – direct federal funding was removed from the calculation

- Enhanced Audit Certification Form – simplified language, requires more information regarding auditor

- Streamlined Consolidated Year-end Financial Report (CYEFR)
  ◦ CYEFR doesn't have to tie to total financial statement expenditures
  ◦ Simplified CSFA program expenditure reporting

- Improving user instructions for grantees and grantee auditors, ARRMS Manual

Indirect Cost Rate Election System (ICRES)

- Previously, all grantees were required to work through the CARS system

- Now grantees make indirect cost rate election in ICRES
  - Waive
  - De Minimis
  - Federal Negotiated Indirect Cost Rate Agreement (NICRA)
  - State Negotiated Rate

- Waive and De Minimis, one-time election required

- Federal NICRA, grantee uploads required documents in ICRES

- State Negotiated Rate, once grantee has an award in the CSFA, notification sent to CARS for negotiation process to begin

GMS = GATA Frameworks in AmpliFund Software

- Statewide Grant Management System (GMS) includes federal grant requirements, GATA templates and systems and standard workflow through AmpliFund software

- AmpliFund will be Illinois' system of record for grant administration for all state agencies under the Governor

- Grant making cycles dictate agency implementation timelines
  - 18 agencies are currently using AmpliFund including Aging, CDB, DCFS, DNR, EPA, ICJIA, ISP
  - Over 350 NOFOs have been published in AmpliFund to date
  - Vendor led training supports the state agencies <u>and</u> grantees

Improving Equitable Access to Grant Funds

- Recognize the need to make grant funding available to community-based organizations and others with limited grant experience
  - Learning curve to understand requirements, submit a competitive application and comply with post-award terms
  - GATA listening sessions and targeted stakeholder groups provide insight

- Expanding the learning management system and grant training curriculum developed by ICJIA and DHS for statewide use

- Goal: Make grant administration support and grant funds accessible to new entities so they can partner with Illinois

Readiness for State of Illinois Grants

Grantee Portal User Guide – How to Reference Tool

- Demo of the GATA Grantee Portal registration process
  - Creating an individual user account
  - Registering your organization in the Grantee Portal
  - Selecting a State Cognizant Agency, if applicable

Don't wait for a funding opportunity to establish your Grantee Portal record

CSFA: Source of Grant Opportunities

Signup for the Illinois NOFO Notification

State of Illinois
Grant Accountability and Transparency Act

Select Language ▼

Search 🔍

Home   About   CSFA   Resource Library   Grantee Links   Indirect Cost   Training

CSFA Home

Illinois Catalog of State Financial Assistance

The Catalog of State Financial Assistance (CSFA) is a single, authoritative, statewide, comprehensive source document of State financial assistance program information.

Disclaimer: CSFA data is based on State Agency entries. Contact information for the assigned state agency representative is provided at the program level and at the state agency level.

Active Programs and Awards

Click here to browse a list of agencies that have active grant programs

Click here to browse a list of active grant programs

Click here to browse a list of current funding opportunities

Search active programs : [   ] Search   Search registered grantees : [   ] Search

To subscribe to a weekly mailing list of new opportunities, send a blank email message to: subscribe-omb-gata-grants@lists.illinois.gov

NOFO Informs the Application Decision

Violence Against Women Act (VAWA) - Culturally Specific

Print | Help | Download | Save | Apply

Opportunity Details | **Evaluation & Scoring**

Opportunity Information

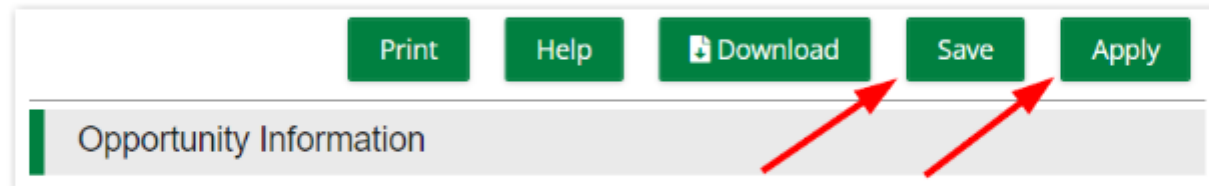|	
CSFA Number	546-00-1744
CSFA Popular Name	VAWA
Title	Violence Against Women Act (VAWA) - Culturally Specific
Description	Congress first passed the Violence Against Women Act (VAWA) in 1994 and reauthorized the Act in 2000. With a reauthorization in 2005, Congress began a new initiative of the S.T.O.P. (Services * Training * Officers * Prosecutors) VAWA program by authorizing grants to states for programs that would improve the response of the criminal justice system to female victims of sexual assault and domestic violence. The program's objectives include: •Providing services to women who are victims of sexual assault and domestic violence. •Developing, implementing, and evaluating a plan for training police, prosecutors, judges, circuit clerks, probation officers, and service providers to promote an interdisciplinary approach to sexual assault and domestic violence. •Implementing measures that document and assess the response of criminal justice agencies in Illinois to sexual assault and domestic violence. The Act specifies that states must allocate 25 percent of the funds to law enforcement, 25 percent to prosecution, 30 percent to service providers, and 5 percent to the courts. The remaining 15 percent can be allocated at the state's discretion. VAWA was reauthorized in 2013.
Awarding Agency Name	Criminal Justice Info Authority
Agency Contact Name	Shataun Hailey
Agency Contact Phone	(312) 814-8100
Agency Contact Email	Shataun.Hailey@Illinois.gov

NOFO Links to the Grant Application

- Anyone can view a NOFO

- Organization must have a <u>Grantee Portal record to apply</u> for funding in AmpliFund; <u>qualified status is required to receive an award</u>

PLEASE NOTE:

To Apply or Save an opportunity using the AmpliFund system you will be prompted to sign in.
You must have Grantee Portal access and your organization must be registered in the Grantee Portal.

Print   Help   Download   Save   Apply

Opportunity Information

For help completing these requirements, see the Grantee Portal User Guide.

Continue to the NOFO in AmpliFund...

Violence Against Women Act (VAWA) - Culturally Specific

Each NOFO Includes Awarding Agency Contact Information

Question Submission Information

Question Submission Open Date	6/1/2023 10:00 AM
Question Submission Close Date	6/22/2023 12:00 AM
Question Submission Email Address	CJA.CS2023@Illinois.gov
Question Submission Additional Information	Questions may be submitted via email at CJA.CS2023@Illinois.gov. The deadline for submitted questions is 5:00 p.m. (CST) on June 22, 2023. All substantive questions and responses will be posted on the ICJIA website at https://icjia.illinois.gov/gata and on the AmpliFund. Due to the competitive nature of this solicitation, applicants may not discuss the opportunity directly with any ICJIA employee other than via this email address CJA.CS2023@Illinois.gov.
Attachments	Q&A Updates and Response 1744-0623

Technical Assistance Session

Technical Assistance Session	Yes
Session Date and Time	6/6/2023 10:00 AM
Conference Info / Registration Link	https://illinois.webex.com/recordingservice/sites/illinois/recording/3a6027eae6a9103b9b7ed6ec9eb0de35/playback

Illinois is Successful when Grantees are Successful

- Grantees are Illinois' partners to carry out programs and services

- State agencies need your expertise and value your contributions to carry out their missions

- GATU helps standardize frameworks so Illinois can do what is required by federal and state mandates

- Partnerships with Forefont and Illinois Partners for Human Services create connections to drive meaningful improvements – GATA Do It!

GATA Question?

OMB.GATA@illinois.gov

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Accountability and Results
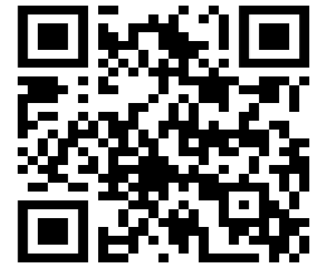Jennifer.Butler@illinois.gov

Get More Involved

2023 Policy Related Events

- Equitable Access & Capacity Initiative – Government Funding for Nonprofits (7/25)
- Sector Briefing: Learn about New State Initiatives with Secretary Grace Hou (7/27)
- More to come!
- See https://myforefront.org/events/

ForefrOnt

Get More Involved: Other Opportunities

- Nonprofits should engage in democratic process at every level of government no matter what you do with Forefront!
- Also:
  - Sign up for our action center to get bill alerts
  - Use Forefront website resources: Info on submitting witness slips, lobbying rules
  - Create account on www.ilga.gov to track bills
  - Send ideas, bill info, questions to publicpolicy@myforefront.org

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Get More Involved: Other Opportunities

- Weekly Forefront Emails: https://myforefront.org/contact/
- LinkedIn: https://www.linkedin.com/company/ForefrontIL/
- Action Center: https://www.votervoice.net/Forefront/home
- Facebook: https://www.facebook.com/MyForefront/
- Twitter: https://twitter.com/MyForefront
- Become a member to tap future policy member-only benefits

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